



CERTIFICATE OF OFFICIAL RECORD

February 28, 2019

The Internal Revenue Service, Enterprise Computing Center (IRS ECC) in Detroit, Michigan, maintains all paper records filed with the Financial Crimes Enforcement Network (FinCEN). I certify that the annexed records were retrieved at my direction, and are true copies of original Treasury Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts (OMB No. 1545-2038), with assigned BSA Identification Numbers and Document Control Numbers: 31000019328377 / 201222200329, 31000019328422 / 201222200755, 31000019328748 / 201222200427, 31000019328824 / 201222200452, 31000019328843 / 201222200477, 31000019330163 / 201222202228, and 31000Q20872013 / 201218725895,

under the custody of this office.

IN WITNESS WHEREOF, I have hereunto set my hand, and caused the seal of this office to be affixed, on the day and year first above written.

By direction of the Secretary of the Treasury:
Director, Financial Crimes Enforcement Network

Jeffrey D. Anderson
By: Jeffrey D. Anderson
Chief
Law Enforcement Support Section

DEFENDANT'S
EXHIBIT
1033
US v. PIERON

201222202228

TD F 90-22.1(Rev. January 2012)
Department of the TreasuryDo not use previous editions of
this form**REPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS**

Do NOT file with your Federal Tax Return

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/31

2 0 0 5

Amended ☐**Part I Filer Information**

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type _____

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete Item 4.

4 Foreign identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other _____

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts _____

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No**Part II Information on Financial Account(s) Owned Separately**

15 Maximum value of account during calendar year reported

100,000

16 Type of account a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

02/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND

52

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-AUG-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

This form should be used to report a financial interest in, signature authority, or other authority over one or more financial accounts in foreign countries, as required by the Department of the Treasury Regulations 31 CFR 1010.350 (formerly 31 CFR 103.24). No report is required if the aggregate value of the accounts did not exceed \$10,000. See Instructions For Definitions.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that the authority to collect information on TD F 90-22.1 in accordance with 5 USC 552a (e) is Public Law 91-508; 31 USC 5314; 5 USC 301; 31 CFR 1010.350 (formerly 31 CFR 103.24).

The principal purpose for collecting the information is to assure maintenance of reports where such reports or records have a high degree of usefulness in criminal, tax, or regulatory investigations or proceedings. The information collected may be provided to those officers and employees of any constituent unit of the Department of the Treasury who have a need for the records in the performance of their duties. The records may be referred to any other department or agency of the United States upon the request of the head of such department or agency for use in a criminal, tax, or regulatory investigation or proceeding. The information collected may also be provided to appropriate state, local, and foreign law enforcement and regulatory personnel in the performance of their official duties. Disclosure of this information is mandatory. Civil and criminal penalties, including in certain circumstances a fine of not more than \$500,000 and imprisonment of not more than five years, are provided for failure to file a report, supply information, and for filing a false or fraudulent report. Disclosure of the Social Security number is mandatory. The authority to collect is 31 CFR 1010.350 (formerly 31 CFR 103.24). The Social Security number will be used as a means to identify the individual who files the report.

The estimated average burden associated with this collection of information is 75 minutes per respondent or record keeper, depending on individual circumstances. Comments regarding the accuracy of this burden estimate, and suggestions for reducing the burden should be directed to the Internal Revenue Service, Bank Secrecy Act Policy, 5000 Ellin Road C-3-242, Lanham MD 20706.

201222202229

Part II Continued—Information on Financial Account(s) Owned Separately.

Form TD F 90-22.1

Page Number

2 of 2**Complete a Separate Block for Each Account Owned Separately**

This side can be copied as many times as necessary in order to provide information on all accounts.

1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>5</u>		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported TBD		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below FJ TECHNOLOGIES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation TBD		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City ZURICH		21 State, if known		22 Zip/Postal Code, if known 8001	23 Country SWITZERLAND 52
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	23 Country
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	23 Country
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	23 Country
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	23 Country
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20 City		21 State, if known		22 Zip/Postal Code, if known	23 Country
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					

Form TD F 90-22.1 (Rev. 1-2012)

027174

201222202228

JAMES D PIERON, JR.

[REDACTED]
MT. PLEASANT, MI [REDACTED]

[REDACTED] 2111

FORM TD F 90-22.1 STATEMENT 12/31/05

MR. PIERON WAS INVOLVED WITH SEVERAL ENTITIES WHILE IN SWITZERLAND AND WAS UNAWARE OF THE FILING AND REPORTING REQUIREMENTS FOR THE FOREIGN BANK ACCOUNTS. ONCE MADE AWARE OF THE FILING REQUIREMENTS, HE IMMEDIATELY TOOK STEPS TO COMPLY BY SEARCHING THROUGH SEVERAL YEARS OF RECORDS TO OBTAIN THE REQUIRED INFORMATION. INCLUDED ON FORM TD F 90-22.1 IS ONE PERSONAL ACCOUNT AND ONE BUSINESS ACCOUNT OF WHICH MR. PIERON WAS A GREATER THAN 50% OWNER.

RECEIVED BY IRS
DETROIT COMPUTING CENTER
2012 AUG -9 AM 5:20

201222200329

TD F 90-22.1(Rev. January 2012)
Department of the Treasury**REPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS**

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OMB No. 1545-2038

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Year Ended 12/31

2 0 0 6

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2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type _____

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete item 4.

4 Foreign identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other _____

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts _____

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No**Part II Information on Financial Account(s) Owned Separately**

15 Maximum value of account during calendar year reported

20,000

16 Type of account a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

60Z/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-AUG-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

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201222200329

Part II Continued—Information on Financial Account(s) Owned Separately

Form TD F 90-22.1

Page Number

2 of 2**Complete a Separate Block for Each Account Owned Separately**

This side can be copied as many times as necessary in order to provide information on all accounts.

1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>6</u>		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported 300,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX TECHNOLOGIES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation <u>167F/01P</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
23 Country SWITZERLAND		52			
15 Maximum value of account during calendar year reported 500,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND MGMT LTD, B.V.I.			
17 Name of Financial Institution in which account is held CREDIT SUISSE					
18 Account number or other designation <u>12/11</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held GENEVA 70			
20 City GENEVA		21 State, if known		22 Zip/Postal Code, if known 1211	
23 Country SWITZERLAND		52			
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
23 Country					
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
23 Country					
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
23 Country					
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					

Form TD F 90-22.1 (Rev. 1-2012)

027177

201222200329

JAMES D PIERON, JR.
[REDACTED]

MT. PLEASANT, MI 48858
[REDACTED] 2111

FORM TD F 90-22.1 STATEMENT 12/31/06

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RECEIVED IRS DETROIT
COMPUTING CENTER
2012 AUG -9 AM 11:45

TD F 90-22.1(Rev. January 2012)
Department of the Treasury**REPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS**

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/31

2 0 0 7

Amended ☐Do not use previous editions of
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Do NOT file with your Federal Tax Return

Part I Filer Information

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete Item 4.

4 Foreign identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

48858

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No**Part II Information on Financial Account(s) Owned Separately**

15 Maximum value of account during calendar year reported

8,000,000

16 Type of account a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

60Z/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-20-12

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201222200427

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1 Page Number <u>2</u> of <u>4</u>	
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>7</u>	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>	6 Last Name or Organization Name PIERON, JR.			
15 Maximum value of account during calendar year reported 8,850,000		16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / JDFX FUND			
17 Name of Financial Institution in which account is held JDFX FUND LTD					
18 Account number or other designation TBD	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 800 ROAD TOWN				
20 City TORTOLA	21 State, if known	22 Zip/Postal Code, if known	23 Country B.V.I. VI		
15 Maximum value of account during calendar year reported 2,600,000		16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / SAXO			
17 Name of Financial Institution in which account is held SAXOBANK					
18 Account number or other designation 4882INET	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held PHILIP HEYMANS ALLE 15				
20 City HELLERUP	21 State, if known	22 Zip/Postal Code, if known 2900	23 Country DENMARK DA		
15 Maximum value of account during calendar year reported 200,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below KOMPLIQUE AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60X/01V	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 100,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX HOLDING AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation ON/01A	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 1,000,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX TECHNOLOGIES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60J/67F/01P	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 50,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX RISK MANAGEMENT SERVICES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60K/01G	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		

Form TD F 90-22.1 (Rev. 1-2012)

201222200427

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1 Page Number <u>3</u> of <u>4</u>	
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>7</u>	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.		
15 Maximum value of account during calendar year reported <u>9,250,000</u>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND MGMT LTD, B.V.I.		
17 Name of Financial Institution in which account is held CREDIT SUISSE					
18 Account number or other designation <u>12/11</u>	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held GENEVA 70				
20 City GENEVA	21 State, if known	22 Zip/Postal Code, if known 1211	23 Country SWITZERLAND <u>SZ</u>		
15 Maximum value of account during calendar year reported			16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held					
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17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country		
15 Maximum value of account during calendar year reported			16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held					

Form TD F 90-22.1 (Rev. 1-2012)

027181

201222200427

Part IV Information on Financial Account(s) Where Filer has Signature Authority but No Financial Interest in the Account(s)Form TD F 90-22.1
Page Number**Complete a Separate Block for Each Account**

This side can be copied as many times as necessary in order to provide information on all accounts.

4 of 4

1 Filing for calendar year 2 0 0 7		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: 2111		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported 19,900,000				16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND LTD	
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation 701		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
				23 Country UK	
34 Last Name or Organization Name of Account Owner JDFX FUND LTD				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code 42 Country B.V.I. VI	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported 7,500,000				16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND LTD	
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation OPICS COLLATERAL		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
				23 Country UK	
34 Last Name or Organization Name of Account Owner JDFX FUND LTD				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code 42 Country B.V.I. VI	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported				16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below	
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
34 Last Name or Organization Name of Account Owner				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.)	
39 City		40 State		41 Zip/Postal Code	
				42 Country	
43 Filer's Title with this Owner					

Form TD F 90-22.1 (Rev. 1-2012)

027182

201222200427

JAMES D PIERON, JR.

MT. PLEASANT, MI 48853

-2111

FORM TD F 90-22.1 STATEMENT 12/31/07

MR. PIERON WAS INVOLVED WITH SEVERAL ENTITIES WHILE IN SWITZERLAND AND WAS UNAWARE OF THE FILING AND REPORTING REQUIREMENTS FOR THE FOREIGN BANK ACCOUNTS. ONCE MADE AWARE OF THE FILING REQUIREMENTS, HE IMMEDIATELY TOOK STEPS TO COMPLY BY SEARCHING THROUGH SEVERAL YEARS OF RECORDS TO OBTAIN THE REQUIRED INFORMATION. INCLUDED ON FORM TD F 90-22.1 ARE THREE PERSONAL ACCOUNTS, FIVE BUSINESS ACCOUNTS OF WHICH MR. PIERON WAS A GREATER THAN 50% OWNER AND TWO ACCOUNTS WHICH HE HAD SIGNATURE AUTHORITY ONLY.

TD F 90-22.1(Rev. January 2012)
Department of the TreasuryDo not use previous editions of
this form**REPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS**

Do NOT file with your Federal Tax Return

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/312 0 0 8Amended ☐**Part I Filer Information**

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type _____

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete Item 4.

4 Foreign Identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other _____

b Number _____

c Country of Issue _____

5 Individual's Date of Birth
MM/DD/YYYY

1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

1916 CHURCHILL BLVD.

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

48858

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts _____

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No**Part II Information on Financial Account(s) Owned Separately**

15 Maximum value of account during calendar year reported

4,250,000

16 Type of account

a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

60Z/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-16-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

This form should be used to report a financial interest in, signature authority, or other authority over one or more financial accounts in foreign countries, as required by the Department of the Treasury Regulations 31 CFR 1010.350 (formerly 31 CFR 103.24). No report is required if the aggregate value of the accounts did not exceed \$10,000. See Instructions For Definitions.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that the authority to collect information on TD F 90-22.1 in accordance with 5 USC 552a (e) is Public Law 91-508; 31 USC 5314; 5 USC 301; 31 CFR 1010.350 (formerly 31 CFR 103.24).

The principal purpose for collecting the information is to assure maintenance of reports where such reports or records have a high degree of usefulness in criminal, tax, or regulatory investigations or proceedings. The information collected may be provided to those officers and employees of any constituent unit of the Department of the Treasury who have a need for the records in the performance of their duties. The records may be referred to any other department or agency of the United States upon the request of the head of such department or agency for use in a criminal, tax, or regulatory investigation or proceeding. The information collected may also be provided to appropriate state, local, and foreign law enforcement and regulatory personnel in the performance of their official duties. Disclosure of this information is mandatory. Civil and criminal penalties, including in certain circumstances a fine of not more than \$500,000 and imprisonment of not more than five years, are provided for failure to file a report, supply information, and for filing a false or fraudulent report. Disclosure of the Social Security number is mandatory. The authority to collect is 31 CFR 1010.350 (formerly 31 CFR 103.24). The Social Security number will be used as a means to identify the individual who files the report.

The estimated average burden associated with this collection of information is 75 minutes per respondent or record keeper, depending on individual circumstances. Comments regarding the accuracy of this burden estimate, and suggestions for reducing the burden should be directed to the Internal Revenue Service, Bank Secrecy Act Policy, 5000 Ellin Road C-3-242, Lanham MD 20706.

201222200452

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1 Page Number 2 of 4	
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year 2 0 0 8		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: 2111		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported 2,600,000		16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / JDFX FUND			
17 Name of Financial Institution in which account is held JDFX FUND LTD.					
18 Account number or other designation TBD		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 800 ROAD TOWN			
20 City TORTOLA		21 State, if known		22 Zip/Postal Code, if known	
				23 Country VI	
15 Maximum value of account during calendar year reported 2,614,000		16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / SAXO			
17 Name of Financial Institution in which account is held SAXOBANK					
18 Account number or other designation 882INET		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held PHILIP HEYMANS ALLE 15			
20 City HELLERUP		21 State, if known		22 Zip/Postal Code, if known 2900	
				23 Country DENMARK DA	
15 Maximum value of account during calendar year reported 300,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below KOMPLIQUE AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60X/01V		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
				23 Country SWITZERLAND SZ	
15 Maximum value of account during calendar year reported 2,250,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX HOLDING AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60N/01A		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
				23 Country SWITZERLAND SZ	
15 Maximum value of account during calendar year reported 1,000,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX TECHNOLOGIES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 67F/01P		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
				23 Country SWITZERLAND SZ	
15 Maximum value of account during calendar year reported 170,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX RISK MANAGEMENT SERVICES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60K/01G		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
				23 Country SWITZERLAND SZ	

Form TD F 90-22.1 (Rev. 1-2012)

201222200452

Part II Continued—Information on Financial Account(s) Owned Separately					Form TD F 90-22.1 Page Number <u>3</u> of <u>4</u>
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>8</u>	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.		
15 Maximum value of account during calendar year reported 9,250,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND MGMT LTD, B.V.I.			
17 Name of Financial Institution in which account is held CREDIT SUISSE					
18 Account number or other designation <u>12/11</u>	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held GENEVA 70				
20 City GENEVA	21 State, if known	22 Zip/Postal Code, if known 1211	23 Country SWITZERLAND <u>SZ</u>		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					

Form TD F 90-22.1 (Rev. 1-2012)

201222200452

Part IV Information on Financial Account(s) Where Filer has Signature Authority but No Financial Interest in the Account(s)				Form TD F 90-22.1 Page Number <u>4</u> of <u>4</u>	
Complete a Separate Block for Each Account					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">2 0 0 8</div>		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">2111</div>		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">660,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND LTD		
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation OPICS COLLATERAL		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
23 Country UK				34 Last Name or Organization Name of Account Owner JDFX FUND LTD	
35 Taxpayer Identification Number of Account Owner				36 First Name	
37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN			
39 City TORTOLA		40 State		41 Zip/Postal Code 42 Country B.V.I. VI	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">10,000,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND LTD		
17 Name of Financial Institution in which account is held DEUTSCHE BANK					
18 Account number or other designation <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">9256A</div>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 100 PLAZA ONE			
20 City JERSEY CITY		21 State, if known NJ		22 Zip/Postal Code, if known 07311	
23 Country US				34 Last Name or Organization Name of Account Owner JDFX FUND LTD	
35 Taxpayer Identification Number of Account Owner				36 First Name	
37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN			
39 City TORTOLA		40 State		41 Zip/Postal Code 42 Country B.V.I.	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">5,500,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND LTD		
17 Name of Financial Institution in which account is held JP MORGAN					
18 Account number or other designation <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">701</div>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
23 Country UK				34 Last Name or Organization Name of Account Owner JDFX FUND LTD	
35 Taxpayer Identification Number of Account Owner				36 First Name	
37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN			
39 City TORTOLA		40 State		41 Zip/Postal Code 42 Country B.V.I.	
43 Filer's Title with this Owner DIRECTOR					

Form TD F 90-22.1 (Rev. 1-2012)

027187

201222200452

JAMES D PIERON, JR.

[REDACTED]
MT. PLEASANT, MI [REDACTED]

[REDACTED]-2111

FORM TD F 90-22.1 STATEMENT 12/31/08

MR. PIERON WAS INVOLVED WITH SEVERAL ENTITIES WHILE IN SWITZERLAND AND WAS UNAWARE OF THE FILING AND REPORTING REQUIREMENTS FOR THE FOREIGN BANK ACCOUNTS. ONCE MADE AWARE OF THE FILING REQUIREMENTS, HE IMMEDIATELY TOOK STEPS TO COMPLY BY SEARCHING THROUGH SEVERAL YEARS OF RECORDS TO OBTAIN THE REQUIRED INFORMATION. INCLUDED ON FORM TD F 90-22.1 ARE THREE PERSONAL ACCOUNTS, FIVE BUSINESS ACCOUNTS OF WHICH MR. PIERON WAS A GREATER THAN 50% OWNER AND THREE ACCOUNTS WHICH HE HAD SIGNATURE AUTHORITY ONLY.

5
TD F 90-22.1(Rev. January 2012)
Department of the Treasury201222200477
REPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/31

2 0 0 9

Amended ☐Do not use previous editions of
this form

Do NOT file with your Federal Tax Return

Part I Filer Information

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete item 4.

4 Foreign Identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

10/01/1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No

Part II Information on Financial Account(s) Owned Separately

15 Maximum value of account during calendar year reported

3,800,000

16 Type of account a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

60Z/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND SZ

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-10-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

This form should be used to report a financial interest in, signature authority, or other authority over one or more financial accounts in foreign countries, as required by the Department of the Treasury Regulations 31 CFR 1010.350 (formerly 31 CFR 103.24). No report is required if the aggregate value of the accounts did not exceed \$10,000. See Instructions For Definitions.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that the authority to collect information on TD F 90-22.1 in accordance with 5 USC 552a (e) is Public Law 91-508; 31 USC 5314; 5 USC 301; 31 CFR 1010.350 (formerly 31 CFR 103.24).

The principal purpose for collecting the information is to assure maintenance of reports where such reports or records have a high degree of usefulness in criminal, tax, or regulatory investigations or proceedings. The information collected may be provided to those officers and employees of any constituent unit of the Department of the Treasury who have a need for the records in the performance of their duties. The records may be referred to any other department or agency of the United States upon the request of the head of such department or agency for use in a criminal, tax, or regulatory investigation or proceeding. The information collected may also be provided to appropriate state, local, and foreign law enforcement and regulatory personnel in the performance of their official duties. Disclosure of this information is mandatory. Civil and criminal penalties, including in certain circumstances a fine of not more than \$500,000 and imprisonment of not more than five years, are provided for failure to file a report, supply information; and for filing a false or fraudulent report. Disclosure of the Social Security number is mandatory. The authority to collect is 31 CFR 1010.350 (formerly 31 CFR 103.24). The Social Security number will be used as a means to identify the individual who files the report.

The estimated average burden associated with this collection of information is 75 minutes per respondent or record keeper, depending on individual circumstances. Comments regarding the accuracy of this burden estimate, and suggestions for reducing the burden should be directed to the Internal Revenue Service, Bank Secrecy Act Policy, 5000 Ellin Road C-3-242, Lanham MD 20706.

201222200477

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1 Page Number <u>2</u> of <u>4</u>	
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>9</u>	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.		
15 Maximum value of account during calendar year reported 23,000			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held BANQUE CANTONALE VAUDOISE					
18 Account number or other designation <u>32.40</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held CASE POSTALE 300			
20 City LAUSANNE		21 State, if known		22 Zip/Postal Code, if known 1001	
23 Country SWITZERLAND SZ					
15 Maximum value of account during calendar year reported 2,100,000			16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / SAXO		
17 Name of Financial Institution in which account is held SAXOBANK					
18 Account number or other designation <u>882INET</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held PHILIP HEYMANS ALLE 15			
20 City HELLERUP		21 State, if known		22 Zip/Postal Code, if known 2900	
23 Country DENMARK DA					
15 Maximum value of account during calendar year reported 300,000			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below KOMPLIQUE AG		
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation <u>60X/01V</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
23 Country SWITZERLAND SZ					
15 Maximum value of account during calendar year reported 2,250,000			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX HOLDING AG		
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation <u>60N/01A</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
23 Country SWITZERLAND SZ					
15 Maximum value of account during calendar year reported 1,000,000			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX TECHNOLOGIES AG		
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation <u>67F/01P</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
23 Country SWITZERLAND SZ					
15 Maximum value of account during calendar year reported 100,000			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX RISK MANAGEMENT SERVICES AG		
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation <u>60K/01G</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH			
20 City LUZERN		21 State, if known		22 Zip/Postal Code, if known 6002	
23 Country SWITZERLAND SZ					

Form TD F 90-22.1 (Rev. 1-2012)

201222200477

Part II Continued—Information on Financial Account(s) Owned Separately

Form TD F 90-22.1

Complete a Separate Block for Each Account Owned Separately

Page Number

This side can be copied as many times as necessary in order to provide information on all accounts.

3 of 4

1 Filing for calendar year <u>2</u> <u>0</u> <u>0</u> <u>9</u>		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <u>2111</u>		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported 250,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND MGMT LTD, B.V.I.			
17 Name of Financial Institution in which account is held CREDIT SUISSE					
18 Account number or other designation <u>12/11</u>		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held GENEVA 70			
20 City GENEVA		21 State, if known		22 Zip/Postal Code, if known 1211	
				23 Country SWITZERLAND 52	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
				23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					

Form TD F 90-22.1 (Rev. 1-2012)

027191

201222200477

Part IV Information on Financial Account(s) Where Filer has Signature Authority but No Financial Interest in the Account(s)				Form TD F 90-22.1 Page Number <u>4</u> of <u>4</u>	
Complete a Separate Block for Each Account					
This slide can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">2 0 0 9</div>		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">[REDACTED] 2111</div>		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">660,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation OPICS COLLATERAL		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
23 Country UK					
34 Last Name or Organization Name of Account Owner JDFX FUND LTD				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code	
42 Country B.V.I.					
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">1,000,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held DEUTSCHE BANK					
18 Account number or other designation [REDACTED] 266A		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 100 PLAZA ONE			
20 City JERSEY CITY		21 State, if known NJ		22 Zip/Postal Code, if known 07311	
23 Country USA					
34 Last Name or Organization Name of Account Owner JDFX FUND LTD				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code	
42 Country B.V.I.					
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported <div style="border-bottom: 1px solid black; width: 100px; margin-top: 5px;">7,250,000</div>			16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation [REDACTED] 1701		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
23 Country UK					
34 Last Name or Organization Name of Account Owner JDFX FUND LTD				35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code	
42 Country B.V.I.					
43 Filer's Title with this Owner DIRECTOR					

Form TD F 90-22.1 (Rev. 1-2012)

201222200477

JAMES D PIERON, JR.

MT. PLEASANT, MI

2111

FORM TD F 90-22.1 STATEMENT 12/31/09

MR. PIERON WAS INVOLVED WITH SEVERAL ENTITIES WHILE IN SWITZERLAND AND WAS UNAWARE OF THE FILING AND REPORTING REQUIREMENTS FOR THE FOREIGN BANK ACCOUNTS. ONCE MADE AWARE OF THE FILING REQUIREMENTS, HE IMMEDIATELY TOOK STEPS TO COMPLY BY SEARCHING THROUGH SEVERAL YEARS OF RECORDS TO OBTAIN THE REQUIRED INFORMATION. INCLUDED ON FORM TD F 90-22.1 ARE THREE PERSONAL ACCOUNTS, FIVE BUSINESS ACCOUNTS OF WHICH MR. PIERON WAS A GREATER THAN 50% OWNER AND THREE ACCOUNTS WHICH HE HAD SIGNATURE AUTHORITY ONLY.

4
TD F 90-22.1(Rev. January 2012)
Department of the TreasuryREPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/31

2 0 1 0

Amended ☐Do not use previous editions of
this form

Do NOT file with your Federal Tax Return

Part I Filer Information

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete item 4.

4 Foreign Identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

10/01/1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No

Part II Information on Financial Account(s) Owned Separately

15 Maximum value of account during calendar year reported

200,000

16 Type of account a ☒ Bank b ☐ Securities c ☐ Other—Enter type below

17 Name of Financial Institution in which account is held

UNION BANK OF SWITZERLAND

18 Account number or other designation

60Z/40M

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

POSTFACH

20 City

ZURICH

21 State, if known

22 Zip/Postal Code, if known

8098

23 Country

SWITZERLAND

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

6-10-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

This form should be used to report a financial interest in, signature authority, or other authority over one or more financial accounts in foreign countries, as required by the Department of the Treasury Regulations 31 CFR 1010.350 (formerly 31 CFR 103.24). No report is required if the aggregate value of the accounts did not exceed \$10,000. See Instructions For Definitions.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that the authority to collect information on TD F 90-22.1 in accordance with 5 USC 552a (e) is Public Law 91-508; 31 USC 5314; 5 USC 301; 31 CFR 1010.350 (formerly 31 CFR 103.24).

The principal purpose for collecting the information is to assure maintenance of reports where such reports or records have a high degree of usefulness in criminal, tax, or regulatory investigations or proceedings. The information collected may be provided to those officers and employees of any constituent unit of the Department of the Treasury who have a need for the records in the performance of their duties. The records may be referred to any other department or agency of the United States upon the request of the head of such department or agency for use in a criminal, tax, or regulatory investigation or proceeding. The information collected may also be provided to appropriate state, local, and foreign law enforcement and regulatory personnel in the performance of their official duties. Disclosure of this information is mandatory. Civil and criminal penalties, including in certain circumstances a fine of not more than \$500,000 and imprisonment of not more than five years, are provided for failure to file a report, supply information, and for filing a false or fraudulent report. Disclosure of the Social Security number is mandatory. The authority to collect is 31 CFR 1010.350 (formerly 31 CFR 103.24). The Social Security number will be used as a means to identify the individual who files the report.

The estimated average burden associated with this collection of information is 75 minutes per respondent or record keeper, depending on individual circumstances. Comments regarding the accuracy of this burden estimate, and suggestions for reducing the burden should be directed to the Internal Revenue Service, Bank Secrecy Act Policy, 5000 Ellin Road C-3-242, Lanham MD 20706.

RECEIVED IRS DETROIT
COMPUTING CENTER
12 APR - 8 AM 1:58

201222200755

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1 Page Number <u>2</u> of <u>3</u>	
Complete a Separate Block for Each Account Owned Separately					
This side can be copied as many times as necessary in order to provide information on all accounts.					
1 Filing for calendar year 2 0 1 0	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: 2111	6 Last Name or Organization Name PIERON, JR.			
15 Maximum value of account during calendar year reported 840,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held BANQUE CANTONALE VAUDOISE					
18 Account number or other designation 32.40	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held CASE POSTALE 300				
20 City LAUSANNE	21 State, if known	22 Zip/Postal Code, if known 1001	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 2,100,000		16 Type of account a <input type="checkbox"/> Bank b <input checked="" type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JAMES PIERON / SAXO			
17 Name of Financial Institution in which account is held SAXOBANK					
18 Account number or other designation 862INET	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held PHILIP HEYMANS ALLE 15				
20 City HELLERUP	21 State, if known	22 Zip/Postal Code, if known 2900	23 Country DENMARK DA		
15 Maximum value of account during calendar year reported 300,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below KOMPLIQUE AGG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation 60X/01V	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 10,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX TECHNOLOGIES AG			
17 Name of Financial Institution in which account is held UNION BANK OF SWITZERLAND					
18 Account number or other designation /67F/01P	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held POSTFACH				
20 City LUZERN	21 State, if known	22 Zip/Postal Code, if known 6002	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported 350,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below JDFX FUND MGMT LRD, B.V.I.			
17 Name of Financial Institution in which account is held CREDIT SUISSE					
18 Account number or other designation 2/11	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held GENEVA 70				
20 City GENEVA	21 State, if known	22 Zip/Postal Code, if known 1211	23 Country SWITZERLAND SZ		
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation					
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held					
20 City					
21 State, if known					
22 Zip/Postal Code, if known					
23 Country					

Form TD F 90-22.1 (Rev. 1-2012)

201222200755

Part IV Information on Financial Account(s) Where Filer has Signature Authority but No Financial Interest in the Account(s)Form TD F 90-22.1
Page Number**Complete a Separate Block for Each Account**

This side can be copied as many times as necessary in order to provide information on all accounts.

3 of 3

1 Filing for calendar year 2 0 1 0		3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: 2111		6 Last Name or Organization Name PIERON, JR.	
15 Maximum value of account during calendar year reported 660,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation OPICS COLLATERAL		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 126 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
34 Last Name or Organization Name of Account Owner JDFX FUND LTD		23 Country UK		35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 801 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code B.V.I. VI	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported 160,000		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held JPMORGAN					
18 Account number or other designation 1701		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held 125 LONDON WALL			
20 City LONDON		21 State, if known		22 Zip/Postal Code, if known EC2TY5AJ	
34 Last Name or Organization Name of Account Owner JDFX FUND LTD		23 Country UK		35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.) 800 ROAD TOWN	
39 City TORTOLA		40 State		41 Zip/Postal Code B.V.I. VI	
43 Filer's Title with this Owner DIRECTOR					
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below			
17 Name of Financial Institution in which account is held					
18 Account number or other designation		19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City		21 State, if known		22 Zip/Postal Code, if known	
34 Last Name or Organization Name of Account Owner		23 Country		35 Taxpayer Identification Number of Account Owner	
36 First Name		37 Middle initial		38 Address (Number, Street, and Apt. or Suite No.)	
39 City		40 State		41 Zip/Postal Code	
42 Country					
43 Filer's Title with this Owner					

Form TD F 90-22.1 (Rev. 1-2012)

027196

201222200755

JAMES D PIERON, JR.

MT. PLEASANT, MI

2111

FORM TD F 90-22.1 STATEMENT 12/31/10

MR. PIERON WAS INVOLVED WITH SEVERAL ENTITIES WHILE IN SWITZERLAND AND WAS UNAWARE OF THE FILING AND REPORTING REQUIREMENTS FOR THE FOREIGN BANK ACCOUNTS. ONCE MADE AWARE OF THE FILING REQUIREMENTS, HE IMMEDIATELY TOOK STEPS TO COMPLY BY SEARCHING THROUGH SEVERAL YEARS OF RECORDS TO OBTAIN THE REQUIRED INFORMATION. INCLUDED ON FORM TD F 90-22.1 ARE THREE PERSONAL ACCOUNTS, THREE BUSINESS ACCOUNTS OF WHICH MR. PIERON WAS A GREATER THAN 50% OWNER AND TWO ACCOUNTS WHICH HE HAD SIGNATURE AUTHORITY ONLY.

②
TD F 90-22.1(Rev. January 2012)
Department of the Treasury
Do not use previous editions of
this formREPORT OF FOREIGN BANK
AND FINANCIAL ACCOUNTS

Do NOT file with your Federal Tax Return

OMB No. 1545-2038

1 This Report is for Calendar
Year Ended 12/31

2011

Amended ☐

Part I Filer Information

2 Type of Filer

a ☒ Individual b ☐ Partnership c ☐ Corporation d ☐ Consolidated e ☐ Fiduciary or Other—Enter type

3 U.S. Taxpayer Identification Number

2111

If filer has no U.S. Identification
Number complete Item 4.

4 Foreign identification (Complete only if item 3 is not applicable.)

a Type: ☐ Passport ☐ Other

b Number

c Country of Issue

5 Individual's Date of Birth
MM/DD/YYYY

/1969

6 Last Name or Organization Name

PIERON, JR.

7 First Name

JAMES

8 Middle Initial

D

9 Address (Number, Street, and Apt. or Suite No.)

10 City

MT. PLEASANT

11 State

MI

12 Zip/Postal Code

48859

13 Country

US

14 Does the filer have a financial interest in 25 or more financial accounts?

☐ Yes If "Yes" enter total number of accounts

(If "Yes" is checked, do not complete Part II or Part III, but retain records of this information)

☒ No

Part II Information on Financial Account(s) Owned Separately

15 Maximum value of account during calendar year reported

TBD

16 Type of account a

Bank b

☒ Securities c

Other—Enter type below

JDFX FUND MANAGEMENT

17 Name of Financial Institution in which account is held

CREDIT SUISSE

18 Account number or other designation

8712

19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held

CH-GENEVA 70 (0251)

20 City

GENEVA

21 State, if known

22 Zip/Postal Code, if known

23 Country

SWITZERLAND

Signature

44 Filer Signature

45 Filer Title, if not reporting a personal account

46 Date (MM/DD/YYYY)

27-JUN-12

File this form with: U.S. Department of the Treasury, P.O. Box 32621, Detroit, MI 48232-0621

This form should be used to report a financial interest in, signature authority, or other authority over one or more financial accounts in foreign countries, as required by the Department of the Treasury Regulations 31 CFR 1010.350 (formerly 31 CFR 103.24). No report is required if the aggregate value of the accounts did not exceed \$10,000. See Instructions For Definitions.

PRIVACY ACT AND PAPERWORK REDUCTION ACT NOTICE

Pursuant to the requirements of Public Law 93-579 (Privacy Act of 1974), notice is hereby given that the authority to collect information on TD F 90-22.1 in accordance with 5 USC 552a (e) is Public Law 91-508; 31 USC 5314; 5 USC 301; 31 CFR 1010.350 (formerly 31 CFR 103.24).

The principal purpose for collecting the information is to assure maintenance of reports where such reports or records have a high degree of usefulness in criminal, tax, or regulatory investigations or proceedings. The information collected may be provided to those officers and employees of any constituent unit of the Department of the Treasury who have a need for the records in the performance of their duties. The records may be referred to any other department or agency of the United States upon the request of the head of such department or agency for use in a criminal, tax, or regulatory investigation or proceeding. The info. collected may also be provided to appropriate state, local, and foreign law enforcement and regulatory personnel in the performance of their official duties. Disclosure of this info. is mandatory. Civil and criminal penalties, including in certain circumstances a fine of not more than \$500,000 and imprisonment of not more than five years, are provided for failure to file a report, supply information, and for filing a false or fraudulent report. Disclosure of the Social Security number is mandatory. The authority to collect is 31 CFR 1010.350 (formerly 31 CFR 103.24). The Social Security number will be used as a means to identify the individual who files the report.

The estimated average burden associated with this collection of information is 75 minutes per respondent or record keeper, depending on individual circumstances. Comments regarding the accuracy of this burden estimate, and suggestions for reducing the burden should be directed to the Internal Revenue Service, Bank Secrecy Act Policy, 5000 Ellin Road C-3-242, Lanham MD 20706.

Apprv 271

Form TD F 90-22.1 (Rev. 1-2012)

027198

201218725895

Part II Continued—Information on Financial Account(s) Owned Separately				Form TD F 90-22.1
Complete a Separate Block for Each Account Owned Separately				Page Number
This side can be copied as many times as necessary in order to provide information on all accounts.				2 of 2
1 Filing for calendar year 2011	3-4 Check appropriate Identification Number <input checked="" type="checkbox"/> Taxpayer Identification Number <input type="checkbox"/> Foreign Identification Number Enter identification number here: 2111	5 Last Name or Organization Name PIERON, JR.		
15 Maximum value of account during calendar year reported TBD		16 Type of account a <input checked="" type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held BANQUE CANTONALE VAUDOISE				
18 Account number or other designation 3240	19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held			
20 City LAUSANNE	21 State, if known	22 Zip/Postal Code, if known	23 Country SWITZERLAND 52	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held				
18 Account number or other designation				
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held				
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held				
18 Account number or other designation				
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held				
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held				
18 Account number or other designation				
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held				
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held				
18 Account number or other designation				
19 Mailing Address (Number, Street, Suite Number) of financial institution in which account is held				
20 City	21 State, if known	22 Zip/Postal Code, if known	23 Country	
15 Maximum value of account during calendar year reported		16 Type of account a <input type="checkbox"/> Bank b <input type="checkbox"/> Securities c <input type="checkbox"/> Other—Enter type below		
17 Name of Financial Institution in which account is held				

Apprv 271

Form TD F 90-22.1 (Rev. 1-2012)

027199